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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/963,858	
	<b>Filing Date</b>	September 25, 2001	
	<b>First Named Inventor</b>	Frechet, Jean J.	
	<b>Group Art Unit</b>	1711	
	<b>Examiner Name</b>	not yet assigned	
<b>Total Number of Pages in This Submission</b>	1	<b>Attorney Docket Number</b>	18062G-003510US

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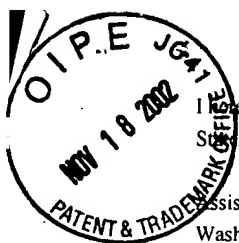
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<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP Jeffrey S. Mann Reg. No. 42,837
<b>Signature</b>	
<b>Date</b>	November 12, 2002

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PATENT  
Attorney Docket No.: 18062G-003510US  
Client Reference No.: B01-007

On November 12, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Catherine Lindorf

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jean J. Frechet, et al.

Application No.: 09/963,858

Filed: September 25, 2001

For: DENDRIMERIC SUPPORT OR  
CARRIER MACROMOLECULE

Examiner:

Art Unit: 1711

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search report corresponding to the PCT application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

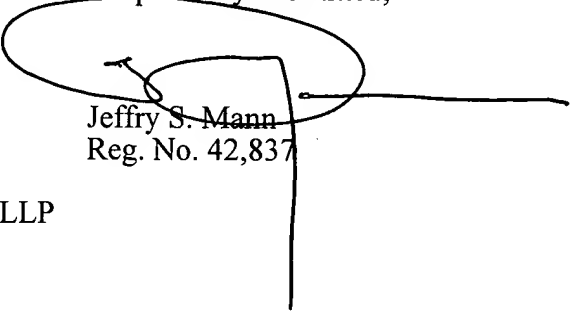
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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Jeffrey S. Mann  
Reg. No. 42,837

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STATEMENT BY APPLICANT

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Sheet

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## Complete if Known

Application Number	09/963,858
Filing Date	September 25, 2001
First Named Inventor	Frechet, Jean J., et. al.
Art Unit	1711
Examiner Name	
Attorney Docket Number	18062G-003510US

## U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,418,301	05-23-1995	Hult et al.	
	AB	US-6,114,458	09-05-2000	Hawker et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	BA	WO	99/00439	A1	01-07-1999	PERSTORP AB		<input type="checkbox"/>
	BB	WO	97/45474	A1	12-04-1997	PERSTORP AB		<input type="checkbox"/>

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	ANNBY, U. et al., "Benzylidene Protected Bis-MPA, A Convenient Dendrimer Building Block," <i>Tetrahedron Letters</i> , Elsevier Science Publishers, Amsterdam, NL, 39:3217-3220 (1998) ✓	
	CB	TROLLSAS, M. et al., "Hyperbranched Poly(epsilon-caprolactone)s," <i>Macromolecules</i> , 31:3439-3445 (1998)	
	CC	TROLLSAS, M. et al., "Highly Functional Branched and Dendri-Graft Aliphatic Polyesters through Ring Opening Polymerization," <i>Macromolecules</i> , 31:2756-2763 (1998) ✓	
	CD	TROLLSAS, M. et al., "Versatile and Controlled Synthesis of Star and Branched Macromolecules by Anionic Initiation," <i>Macromolecules</i> , 30:8508-8511 (1997) ✓	

Examiner  
SignatureDate  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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